

October 22, 2002

VIA FEDERAL EXPRESS

**Christine Washington**  
U.S. Patent and Trademark Office  
PCT DIVISION  
2011 Jefferson Davis Highway  
Crystal City, VA 22202

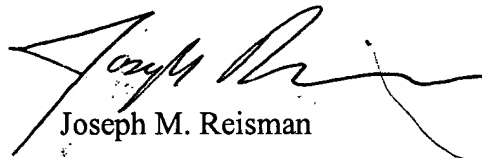
Re: U.S. Patent Application  
Title: **SPECIFIC BINDING PROTEINS INCLUDING ANTIBODIES  
WHICH BIND TO THE NECROTIC CENTRE OF TUMOURS, AND USES  
THEREOF**  
Application No.: 09/720,493  
Our Reference: TECHN.017NP

Dear Ms. Washington:

As you discussed with my assistant, Jan Sands, I have enclosed a new copy of the computer readable disk in ASCII format for the above-identified application. Please use this disk to replace the disk that was apparently lost at the USPTO. Also, per your request, I have enclosed a copy of our response to the May 24, 2001 Notice of Missing Requirements and the return postcard indicating that the original disk was mailed on September 24, 2001 and received by the USPTO on September 27, 2001.

Thank you for your assistance.

Very truly yours,

  
Joseph M. Reisman

Enclosures

S:\DOCS\UMR\UMR-5685.DOC 101702

## UTILITY/DESIGN PATENT

(miscellaneous)

Date:

9/24/01

Date of Action:

5/24/01

Rec'd in the USPTO on the date stamped hereon via Certificate of Mail:

App No.: 09/720,493

Filed:

12/21/00

Exr: Unknown

Art Unit:

Unknown

Batch: N/A

Re-Exam No.:

N/A

Patent No.: N/A

Issued:

N/A

Docket #: TECHN. 017A

Applicant:

Williams, et al.

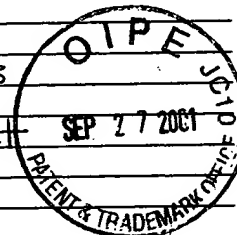
Title: Specific Binding Proteins Including Antibodies...

VERIFIED BY: Asst: PB J

Atty: JMR/JLD

QC: COW

- ☒ Transmittal Letter  
☒ Declaration of Inventors  
☒ Copy of Notice to file Missing Parts  
☒ Sequence Submission Statement  
☒ Computer Readable Disk in Ascii Format  
☒ Check for \$390

☐ Return Prepaid Postcard

FILE

UTILITY/DESIGN PATENT  
(miscellaneous)Date: 9/24/01  
Date of Action: 5/24/01

Rec'd in the USPTO on the date stamped hereon via Certificate of Mail:

App No.: 09/720,493 Filed: 12/21/00  
Exr: Unknown Art Unit: Unknown  
Batch: N/A Re-Exam No.: N/A  
Patent No.: N/A Issued: N/A  
Docket #: TECHN. 017A Applicant: Williams, et al.  
Title: Specific Binding Proteins Including Antibodies...  
VERIFIED BY: Asst: PB J Atty: JMR/JLD QC: [Signature]

- ☒ Transmittal Letter  
☒ Declaration of Inventors  
☒ Copy of Notice to file missing Parts  
☒ Sequence Submission Statement  
☒ Computer Readable Disk in Ascii Format  
☒ Check for \$390  
☐

☒ Return Prepaid Postcard

13039

DATE 9/24/01

COMMISSIONER OF PATENTS.

\$ 390.00

6/80 DOLLARS



FOR Techn. 0178

013039 1112100024814159335074

1894 1895

**MP**

IBM FORMAT

Applicant: Williams et al.  
File Ref: TECHN. 07A  
Saved to Disk: 09/21/01  
Title: Specific Binding  
Proteins Including Antibodies...  
Filing Date: 4/14/99  
Serial No: 09/29/520  
Operating Sys: ASCII

SAFE/PROTEGE  
WRITE/ECRATURE

NO.



Case Docket No. TECHN.017A

Date: September 24, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Williams et al.  
App. No. : 09/720,493  
Filed : December 21, 2000  
For : SPECIFIC BINDING  
PROTEINS INCLUDING  
ANTIBODIES WHICH  
BIND TO THE NECROTIC  
CENTRE OF TUMOURS,  
AND USES THEREOF

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

September 24, 2001

(Date)

Joseph M. Reisman, Reg. No. 43,878

Group Art Unit : Unknown

## TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on May 24, 2001, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) An extension of time to respond for 2 months is hereby requested.

Time Extension Fee:

<input type="radio"/>	one month	(\$110 large entity)
<input checked="" type="radio"/>	two months	(\$390 large entity)
<input type="radio"/>	three months	(\$890 large entity)

- (X) A copy of the Notice to File Missing Parts.
- (X) Sequence Submission Statement (1 page)

PATENT

Case Docket No. TECHN.017A

Date: September 24, 2001

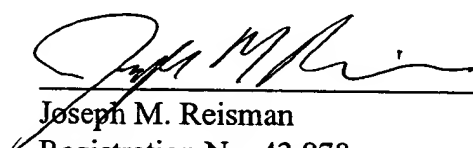
- 
- (X) Computer readable disk in ASCII format
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

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FEE FOR EXTENSION OF TIME (LARGE ENTITY)	2 months	\$ 390
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 520
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ -		
TOTAL FEES SUBMITTED HERewith		\$ 520

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- (X) A check in the amount of \$520 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
Joseph M. Reisman  
Registration No. 43,878  
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

23 OCT 2002

U.S. APPLICATION NO.

09/720493

DOCKETED ON: 5/31/01	FILED: SN	VERIFIED: TV
ACTION: Resp to M/Pat's & Notice to Comply		
DATE: July 24, 2001		
FINAL DEADLINE: November 24, 2001		
ATTY. FIRST NAMED APPLICANT: DEAMRS/JLL	ATTY. DOCKET NO.	
WILLIAMS VERIFICATION OF DUE DATE		TECHN.017A
AND FINAL DEADLINE:		INTERNATIONAL APPLICATION NO.

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

KNOBBE, MARTENS, OLSON & BEAR, LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

PCT/GB99/02123

I.A. FILING DATE

02 JUL 99

PRIORITY DATE

02 JUL 98

DATE MAILED:

24 MAY 2000

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.  |
| <input checked="" type="checkbox"/> Copy of the international application.   | <input type="checkbox"/> Translation of the international application into English.  |
| <input type="checkbox"/> Oath or Declaration of inventors(s).  | <input type="checkbox"/> Translation of Article 19 amendments into English.  |
| <input type="checkbox"/> Copy of Article 19 amendments.  | <input checked="" type="checkbox"/> Other: IB 331, 308, IPEA 402, ISA/210-REFERENCES, RO 101, PREL. AMENDMENT SEQUENCE LISTING |
| <input checked="" type="checkbox"/> Priority Document.   |  |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. |  |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.        |  |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920. SEE ATTACHED PCT/DO/EO/920 FORM

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed: ☐ PCT/DO/EO/917  
☐ PTO-875

☐ Notice of Defective Translation  
☒ PCT/DO/EO/920

Christine S. Washington

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3752